

MEMBERSHIP FORM

To become a 2019 Compliance Program Member, check the box for the fee applicable to your bank/company. Use the registration section to register the person(s) who will attend the quarterly programs.

____ Please renew my CBA Compliance Program membership at the annual fee of \$1,795. The membership fee allows for one person to attend the 2019 Compliance Programs I, II, III and IV complimentary. Each additional attendee from the same bank/company may attend these programs at the discount fee of \$295 per day. The membership fee also includes the quarterly newsletter *InCompliance* (\$195 value), access to a toll-free compliance helpline for routine compliance questions, participation in the CBA Compliance online forums, aka the "Google Group," and special pricing to attend CBA compliance schools and seminars.

____ I am a CBA Member and I wish to join the CBA 2019 Compliance Program at the annual fee of \$1,795. The membership fee allows for one person to attend the 2019 Compliance Programs I, II, III and IV complimentary. Each additional attendee from the same bank/company may attend these programs at the discount fee of \$295 per day. The membership fee also includes the quarterly newsletter *InCompliance* (\$195 value), access to a toll-free compliance helpline for routine compliance questions, participation in the CBA Compliance online forums, aka the "Google Group," and special pricing to attend CBA compliance schools and seminars.

____ I am a CBA Member but not a Compliance Program member. I wish to attend the 2019 compliance program(s) indicated in the compliance program registration section. The fee to attend is \$500 for first person, per day and \$350 for each additional attendee, per day from the same bank/company.

____ I am a Non-CBA Member and I wish to join the CBA 2019 Compliance Program at the annual fee of \$2,095. (Prepayment is required). The membership fee allows for one person to attend the 2019 Compliance programs I, II, III and IV complimentary. Each additional attendee from the same bank/company may attend these programs at the discount fee of \$295 per day. The membership fee also includes the quarterly newsletter *InCompliance* (\$195 value), access to a toll-free compliance helpline for routine compliance questions, participation in the CBA Compliance online forums, aka the "Google Group," and special pricing to attend CBA compliance schools and seminars.

____ I am a Non-CBA Member and I wish to attend the 2019 compliance program(s) as indicated in the registration area. The fee is \$600 per person, per day. (Prepayment is required.)

List below the name of the Compliance Officer/Representative (person to receive newsletter & other correspondence):

Name: _____

Phone Number: _____

Email Address: _____

Cancellation/Change Policy: Due to facility guarantee deadlines, written cancellations must be received four or more business days prior to the event to receive full credit. Credits are not provided for cancellations received three or less business days prior to the event or if absences occur on the day of the event. Attendee substitutions are welcome at any time. When a 2019 CBA Compliance Program member bank does not attend one of the 2019 Compliance Programs, you may request a manual.

Dates/Locations subject to change.

REGISTRATION FORM

Please check the line below for the Compliance Program(s) you prefer and register the individuals who will attend by completing the attendee information. Please duplicate this form to register more than one person or register online at www.cbaofga.com. Confirmation emails will be sent to the attendee approximately one week prior to the program. For assistance, contact the Education Department at education@cbaofga.com.

COMPLIANCE PROGRAM I - "TRID: Facing the Challenges"

- ____ **Tuesday, March 12, 2019** - Hilton Garden Inn at Savannah Airport
____ **Wednesday, March 13, 2019** - UGA Campus Conference Center - Tifton
____ **Tuesday, March 26, 2019** - Embassy Suites at Sugarloaf - Duluth
____ **Wednesday, March 27, 2019** - Hampton Inn & Suites - Rome
____ **Thursday, March 28, 2019** - Idle Hour Country Club - Macon

COMPLIANCE PROGRAM II - "ACH Compliance Processing"

- ____ **Tuesday, May 7, 2019** - Hilton Garden Inn at Savannah Airport
____ **Wednesday, May 8, 2019** - UGA Campus Conference Center - Tifton
____ **Thursday, May 9, 2019** - Idle Hour Country Club - Macon
____ **Tuesday, May 21, 2019** - Embassy Suites at Sugarloaf - Duluth
____ **Wednesday, May 22, 2019** - Hampton Inn & Suites - Rome

COMPLIANCE PROGRAM III - "Georgia Deposit Documentation"

- ____ **Tuesday, September 24, 2019** - Hilton Garden Inn at Savannah Airport
____ **Wednesday, September 25, 2019** - UGA Campus Conference Center - Tifton
____ **Thursday, September 26, 2019** - Idle Hour Country Club - Macon
____ **Tuesday, October 8, 2019** - Embassy Suites at Sugarloaf - Duluth
____ **Wednesday, October 9, 2019** - Hampton Inn & Suites - Rome

COMPLIANCE PROGRAM IV - "Bank Secrecy Act/Anti-Money Laundering"

- ____ **Tuesday, December 3, 2019** - Hilton Garden Inn at Savannah Airport
____ **Wednesday, December 4, 2019** - UGA Campus Conference Center - Tifton
____ **Thursday, December 5, 2019** - Idle Hour Country Club - Macon
____ **Wednesday, December 11, 2019** - Embassy Suites at Sugarloaf - Duluth
____ **Thursday, December 12, 2019** - Hampton Inn & Suites - Rome

Attendee Information:

Attendee Name: _____

Nickname for Badge: _____

Business Address: _____

City/State/Zip Code: _____

Phone: _____ Fax: _____

2nd Attendee Name: _____

Nickname for Badge: _____

Business Address: _____

City/State/Zip Code: _____

Phone: _____ Fax: _____

Email: _____

PAYMENT METHOD:

____ Check enclosed \$ _____ ____ Check here to be invoiced.

____ Check here to pay by credit card (please fill out the form below.)

Name on Card: _____

Account Number: _____

Billing Address of Cardholder: _____

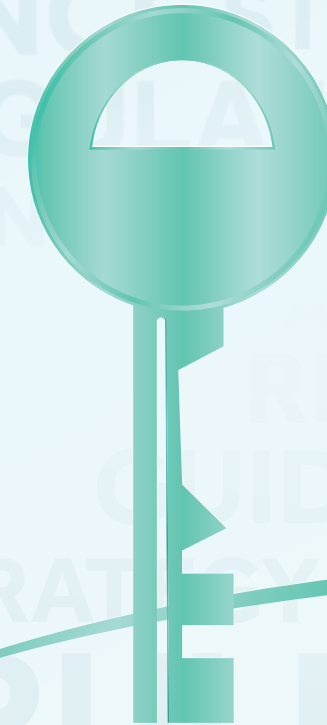
Zip Code: _____ Expiration Date: _____ Security Code: _____

Amount to be Charged: \$ _____

Bank/Company: _____

Billing Address: _____ Zip Code: _____

2019 COMPLIANCE PROGRAM



your key to success.

MEMBERSHIP NOW OPEN!

Become educated on federal regulations and laws and their applicable effects on your bank

Have access to an online forum to network with other community bank Compliance Officers and discuss current compliance concerns or questions

Receive a quarterly newsletter that addresses current regulatory hot topics

Get access to a complimentary toll-free compliance helpline to ask questions about regulatory issues

CPE CREDITS AVAILABLE

Community Bankers Association of Georgia
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www.cbaofga.com • education@cbaofga.com

CBA
COMMUNITY BANKERS
ASSOCIATION OF GEORGIA

OVERVIEW

The Community Bankers Association of Georgia (CBA) Compliance Program is a specially-designed program to educate community bankers on regulatory issues and the applicable effects on their bank. New regulations and the interpretation and application of existing regulations will be addressed in a straightforward manner. The program will also give bankers techniques for monitoring their in-bank compliance program. The CBA Compliance Program is designed for the bank's compliance officer, as well as other staff members who have the responsibility of enforcing regulations. This could include representatives from lending, operations, customer service and marketing. Most community banks do not have the time or resources

available in house to keep current on critical regulatory issues. For these reasons, CBA's Compliance Program is beneficial to community banks. Participation in the program is a way to reduce the risk of having regulatory actions taken against your bank. ProBank Austin, Louisville, Kentucky, is the provider for the CBA Compliance Program. ProBank Austin provides consulting and educational services to the financial industry.



MEMBERSHIP

Annual membership in the CBA Compliance Program is in the bank's name. Therefore, the attendee for each compliance program can be your bank's compliance officer and/or an additional representative from your bank. If the topic for a particular program relates to lending, you may wish to send a representative from the lending department, or if the topic relates to the deposit side, you may wish to send a different representative. The annual membership includes all of the features and benefits listed within this brochure.

THE MANUAL

The detailed manuals provided at each compliance program incorporates regulatory compliance requirements applicable to electronic information, products and services, and includes the latest federal legislation and guidance from the federal financial institution regulatory agencies concerning electronic communications and services. Everything from A to Z is included – all in one, easy to use, resource.

PRICING/SCHEDULE

Compliance Program Membership

- CBA Members pay \$1,795 to become a 2019 Compliance Program Member. This fee allows one person to attend the 2019 compliance programs *complimentary*. In addition, program members may send additional attendees to the program(s) for \$295 per person, per day. This price also includes the quarterly *InCompliance* newsletter (\$195 value), each program's manual, the toll-free compliance helpline through December 31, 2019, and access to the CBA Compliance Officer, Information Technology/Cyber Security and Bank Secrecy Act Officer online forums.
- Non-CBA Members pay \$2,095 to become a 2019 Compliance Program Member. (Prepayment required for non-members). This fee allows one person to attend the 2019 compliance programs. In addition, program members may send additional attendees to the program(s) for \$295 per person, per day. This price also includes the quarterly *InCompliance* newsletter (\$195 value), each program's manual, the toll-free compliance helpline through December 31, 2019, and access to the CBA Compliance Officer, Information Technology/Cyber Security and Bank Secrecy Act Officer online forums.

Fee to Attend Individual Compliance Programs

- CBA Members who do not wish to join the 2019 CBA Compliance Program may attend the quarterly program(s) at the fee of \$500 for the first person, per day and \$350 for each additional attendee from the same bank/company, per day.
- Non-CBA Members who do not wish to join the 2019 CBA Compliance Program may attend the quarterly program(s) at the fee of \$600 per person, per day (prepayment required).

SCHEDULE FOR EACH COMPLIANCE PROGRAM

8:30 a.m.	Registration/ Continental Breakfast
9:00 a.m.	Program
NOON	Lunch
1:00 p.m.	Program
4:00 p.m.	Adjourn

PROGRAM FEATURES/BENEFITS

An annual membership allows you to take full advantage of the benefits of this program. The program is composed of the following elements that give your bank a total compliance resource.

Compliance Programs

One-day programs give you timely information about the latest regulatory changes and applications. The 2019 programs will be held in March, May, September/October and December and will address the topics of **TRID: Facing the Challenges, ACH Compliance Processing, Georgia Deposit Documentation** and **Bank Secrecy Act/Anti-Money Laundering**. Each compliance program is an in-depth look at new regulations and changes to existing laws and regulations. Attendees will receive a detailed manual with a descriptive, "plain language" outline on each topic. The manual includes sample policies for use in developing required policies and procedures, suggested training ideas, and it serves as a reference guide and resource tool for your bank. Compliance Program member banks who do not register to attend a compliance program will still receive the manual upon request. In addition, upon request, Compliance Program member banks who do not register for a program because the topic is not applicable to their bank, may use a portion of the annual membership fee toward another CBA compliance-related program.

Special Benefits

CBA is pleased to provide special benefits to members of the 2019 CBA Compliance Program. These benefits include but are not limited to:

- Special pricing to attend CBA compliance-related schools and seminars
- Complimentary access to the CBA Compliance Officer, Information Security Officer (ISO) and Bank Secrecy Act Officer online forums
- Complimentary registration to attend Compliance, BSA, and Information Security Officer (ISO) Roundtables

Certificates of Completion

A certificate of completion is provided to each bank and individual who attends all four 2019 compliance programs.

Newsletters

Each quarter, you will receive ProBank Austin's *InCompliance* (\$195 value) newsletter. This newsletter addresses various regulatory topics and provides the reader with a "plain language" explanation of the subject matter. Articles address current regulatory developments and help the reader stay current with regulatory changes and how they impact the bank.

Resource Helpline

Complimentary use of ProBank Austin's toll-free compliance helpline is accessible to your bank. Within 90 days after a seminar/school led by a ProBank Austin speaker, attendees may call and discuss concerns with the speaker without charge. For state law specific questions, you may access the complimentary CBA Legal Hotline, sponsored by James-Bates-Brannan-Groover-LLP.

Continuing Professional Education Credits (CPE)

CPE credits are provided upon request to participants of the compliance programs. Certified Community Bank Compliance Officers (CCBCO) may earn credits toward their continuing professional education for each program attended.

SAVE THE DATES

2019 COMPLIANCE SCHOOLS & COMPLIANCE-RELATED SEMINARS/ROUNDTABLES



2/13	Mastering HMDA Macon
2/14	Banker Regulatory Forum - 1 st Quarter: Compliance Focus Macon
2/26-27	TRID - The Basics Macon
2/26-28	BSA Officer School - The Basics Atlanta
3/14	Mortgage Start to Finish Macon
4/23-24	TRID - The Basics Duluth
4/25	Compliance for Construction Loans Macon
8/6-9	Advanced BSA Officer School St. Simons Island
9/24-26	BSA Officer School - The Basics Atlanta
10/21-24	Compliance Lending School TBD
11/7	Banker Regulatory Forum - 4 th Quarter: Compliance Focus Atlanta
TBA	Compliance Management School Compliance/BSA Roundtables ISO Roundtables

Dates/locations subject to change. Additional programs may be added to the calendar. For the most current listing, visit the CBA University at www.cbaofga.com or contact the CBA.